



LINDT & SPRÜNGLI

APPLICATION BY LEGAL ENTITIES
FOR REGISTRATION IN THE SHARE REGISTER

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CHOCOLADEFABRIKEN LINDT & SPRÜNGLI AG

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We request that _____ registered shares with a nominal value of 100 CHF (Security 1057 075) be registered in the share register in our name, according to the provisions of the Articles of Association and the applicable legal provisions.

Please fill out with a typewriter or in block capitals

We are already registered as shareholder: yes no

Corporate name: _____

Domicile (Street, No.): _____

Postcode, Location: _____

Legal form (stock corporation, trust etc.): _____

Scope (acc. to articles of association, deed of foundation etc.): _____

Breakdown of shareholders, partners or beneficiaries according to nationality:

Switzerland %

Foreign country %

We desire correspondence from Chocoladefabriken Lindt & Sprüngli AG to be delivered to:

the address given above

the following address for service: _____

Postcode, Location: _____ Country: _____

Dividends are to be paid as follows:

In case of self-custody: _____ to the bank/bank account: _____

_____ to the postal checking account no.: _____

In case of bank custody,
to the following bank: _____

* Reference no. (max. 15 car.) _____

* Clearing no. _____

* to be filled out by the bank

We confirm that above particulars are correct and hereby declare that we:

- i) do not directly hold more than 4% of the total registered share capital of Chocoladefabriken Lindt & Sprüngli AG;
- ii) do not hold more than 4% of the total registered share capital of Chocoladefabriken Lindt & Sprüngli AG together with other legal entities and/or partnerships having legal capacity, with which we are linked in terms of capital, votes, common management or in another similar way;
- iii) have not acted and shall not act in a co-ordinated manner with other individuals or legal entities or partnerships with regard to the registration restrictions pursuant to article 3 para. 7 of the Articles of Association of Chocoladefabriken Lindt & Sprüngli AG;
- iv) shall receive and hold the shares in our own name and for the account of the beneficial owners of our entity and not receive and hold the shares as a fiduciary or in another manner on behalf of third parties, and in particular shall not hold the shares on the basis of a "securities lending" transaction or a comparable transaction (comparable transactions include so-called "repos" as well as all transactions where a share is formally acquired and held, the economic interest, however, is transferred to another person or entity (or never acquired), such as (without intending the following examples to be exhaustive) the purchase of a share tied to (i) the sale of a future pertaining to the share, (ii) the undertaking of a swap or a so-called contract for difference or (iii) the purchase of a put option with a low strike price) and
- v) shall disclose the identity of the beneficial owners of our entity when so requested by Chocoladefabriken Lindt & Sprüngli AG.

We are aware of the legal consequences of a false declaration pursuant to article 251 of the Swiss Criminal Code, article 28 of the Swiss Code of Obligations and article 3 para. 8 of the Articles of Association of Chocoladefabriken Lindt & Sprüngli AG.

We undertake to notify Chocoladefabriken Lindt & Sprüngli AG without delay of any significant changes relating to the particulars given in this application for registration in the share register (especially in the event of a change regarding the beneficial owners).

AUTHORITY FOR THE TRANSFER OF SHARES

We hereby authorise Chocoladefabriken Lindt & Sprüngli AG to endorse or to assign our shares in our name in the event of a transfer at a later date. This authority is also valid for shares that have already been registered in our name up to now.

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If the principal loses the capacity to act, this authority does not expire.

The authority may only be validly revoked by notification sent to Chocoladefabriken Lindt & Sprüngli AG, 8802 Kilchberg by registered mail.

It is optional to give the above authority. Its purpose is to spare the shareholder from being obliged to endorse the share certificate or sign a certificate of assignment in the event of a transfer at a later date. If the shareholder does not wish to give such authority, the above text relating to the authority must be crossed out.

PRINTING OF SHARES

We take note that:

- i) the registered shares of Chocoladefabriken Lindt & Sprüngli AG are generally uncertificated securities (within the meaning of the Code of Obligations) and intermediary-held securities (within the meaning of the Intermediary-Held Securities Act) and that in such case (where Swiss law is applicable), they may only be transferred pursuant to the provisions of the Intermediary-Held Securities Act;
- ii) following our registration in the share register, we may request Chocoladefabriken Lindt & Sprüngli AG to issue a written statement in respect of our registered shares at any time but that we have, however, no entitlement to the printing and delivery of certificates;
- iii) Chocoladefabriken Lindt & Sprüngli AG may print and deliver certificates for registered shares (whether for single or for multiple shares, or global certificates) at any time, that Chocoladefabriken Lindt & Sprüngli AG may withdraw registered shares issued as intermediary-held securities from the relevant custody system, and that, with the consent of the shareholder, Chocoladefabriken Lindt & Sprüngli AG may cancel issued certificates which are returned to it without replacement.

Place, Date

Applicant's signature

(or signature of his legal representative)

* This reference number shall appear together with the name of the shareholder on all correspondence (dispatch notes, payment lists, lists of subscription rights, voting lists). Use should be made of this number as it assists banks in carrying out the reconciliation procedure.

Send to:

Chocoladefabriken
Lindt & Sprüngli AG
Share Register
c/o Nimbus AG
Post office box
CH-8866 Ziegelbrücke

CHOCOLADEFABRIKEN
LINDT & SPRÜNGLI AG
SEESTRASSE 204, CH-8802 KILCHBERG
SWITZERLAND

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