



**LINDT & SPRÜNGLI**

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REGISTRATION AS NOMINEE

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CHOCOLADEFABRIKEN LINDT & SPRÜNGLI AG

## REGISTRATION AS NOMINEE

We hereby apply for admission as a nominee ("Nominee") for the purpose of holding registered shares of Chocoladenfabriken Lindt & Sprüngli AG, Seestrasse 204, 8802 Kilchberg ("Company") with the right to vote for the account of and on behalf of one or more beneficial owners ("Beneficial Owners").

**Corporate Name:**

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**Complete address of registered office:**

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**Postal address (if different from above):**

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**Affiliation/background** (indicating financial/management relationship with controlling entities/individuals, giving names and nationality):

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**Responsible executive(s):**

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**The Nominee is subject to the following bank or financial market supervision:**

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**Bank references:**

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**Person responsible for**

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– relations with the Company's share register:

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– dividends:

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– votes/proxies for shareholders' meetings:

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– rights issues:

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**Communications are desired in**  English  German  French

1. We undertake to,
    - i) inform the Company's share register of any changes in the information given in this application within 10 calendar days;
    - ii) use our best effort to have the Beneficial Owners directly recorded in the share register of the Company;
    - iii) inform the Beneficial Owners about the terms of this application;
    - iv) disclose all Beneficial Owners at any time within ten working days after the Company may request so. Such disclosure shall include (i) the name, address, location or registered office and nationality of each Beneficial Owner and (ii) the respective number of shares held by each Beneficial Owner. We understand and agree that shares of our customers who do not comply with these disclosure obligations will be registered without the right to vote;
    - v) disclose all Beneficial Owners, whose shareholdings exceed 0.5% of the issued share capital if our shareholdings exceed 2% of the registered share capital, as set forth in the commercial register. Such disclosure shall be done (i) immediately after our shareholdings exceed 2% of the registered share capital, (ii) on a half-year basis, (iii) 20 calendar days prior to any shareholders' meeting and (iv) at any time within ten working days after the Company may request so, and shall include (i) the name, address, location or registered office and nationality of each Beneficial Owner with more than 0.5% of the issued share capital and (ii) the number of shares held by the respective Beneficial Owner . We understand and agree that shares of our customers who do not comply with these disclosure obligations will be registered without the right to vote.
  2. We understand and accept the provisions of the Articles of Association of the Company.
  3. We understand and agree that
    - i) with our registration as Nominee, we will be recorded in the share register of the Company as the holder of registered shares held for and on behalf of third parties and the Company may validly discharge its obligations in connection with such shares vis-à-vis the Nominee;
    - ii) after being heard, the board of directors of the Company may cancel with retroactive effect as of the date of registration, the registration of shareholders as shareholders with the right to vote if the registration was effected based on false information. The respective shareholder or nominee shall be informed immediately of the cancellation of the registration;
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- iii) our registration in the share register as Nominee may be withdrawn by us giving the Company 10 calendar days' notice in writing, and any privilege granted in accordance with this application may be terminated by the Company giving us 10 calendar days' notice in writing. Following withdrawal or termination, the registration of the shares recorded in our capacity as Nominee will be recorded in the share register as shares without the right to vote.
4. We confirm our agreement that the place of jurisdiction for any disputes arising from or in connection with this application shall be at the registered office of the Company.

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Place, Date

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Signature

**Send to:**

Chocoladefabriken  
Lindt & Sprüngli AG  
Share Register  
c/o Nimbus AG  
Post office box  
CH-8866 Ziegelbrücke

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CHOCOLADEFABRIKEN  
LINDT & SPRÜNGLI AG  
SEESTRASSE 204, CH-8802 KILCHBERG  
SWITZERLAND

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